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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92242

Hee-Jong SHIN, et al.

Appln. No.: 10/563,972

Group Art Unit: Unknown

Confirmation No.: 7325

Examiner: Unknown

Filed: January 6, 2006

For: SOLID DISPERSION OF TACROLIMUS

### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

#### **MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. U.S. Patent No. 6,346,537 B1 issued February 12, 2002, to Hata et al.
2. European Patent Application No. 0 184 162 A2 published June 11, 1986, to Fujisawa Pharmaceutical Co., Ltd. This application corresponds to U.S. Patent No. 5,110,811.
3. U.S. Patent No. 5,110,811 A issued May 5, 1992, to Okuhara et al.
4. Japanese Patent Application No. 62-277321 published December 2, 1987, to Fujisawa Pharmaceutical Co., Ltd., with English Abstract. This application corresponds to U.S. Patent No. 4,916,138.
5. U.S. Patent No. 4,916,138 issued April 10, 1990, to Ueda et al.
6. Korean Patent Application No. 2001-0006070 A published January 15, 2001, to Fujisawa Pharmaceutical Co., Ltd. This application corresponds to U.S. Patent No. 6,316,473.

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7. U.S. Patent No. 6,316,473 B1 issued November 13, 2001, to Shimojo et al.
  8. Korean Patent Application No. 2003-0040556 A published May 22, 2003, to Fujisawa Pharmaceutical Co., Ltd. This application corresponds to U.S. Patent No. 6,440,458.
  9. U.S. Patent No. 6,440,458 B1 issued August 27, 2002, to Yamashita et al.
- One copy each of References 2, 4, 6 and 8 above is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: April 7, 2006

Respectfully submitted,



Sunhee Lee  
Registration No. 53,892

Substitute for Form 1449 A & B/PTO			<i>Complete if Known</i>	
			Application Number	10/563,972
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)			Confirmation Number	7325
			Filing Date	January 06, 2006
			First Named Inventor	Hee-Jong SHIN
			Art Unit	Unknown
			Examiner Name	Unknown
			Attorney Docket Number	Q92242
Sheet	1	of		

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 4,916,138		04-10-1990	Ueda et al.
		US 5,110,811	A	05-05-1992	Okuhara et al.
		US 6,316,473	B1	11-13-2001	Shimojo et al.
		US 6,346,537	B1	02-12-2002	Hata et al.
		US 6,440,458	B1	08-27-2002	Yamashita et al.
		US			
		US			
		US			
		US			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	0 184 162	A2	06-11-1986	Fujisawa Phamaceutical Co., Ltd.	
		JP	62-277321	A	12-02-1987	Fujisawa Phamaceutical Co., Ltd.	Abstract
		KR	2001-0006070	A	01-15-2001	Fujisawa Phamaceutical Co., Ltd.	
		KR	2003-0040556	A	05-22-2003	Fujisawa Phamaceutical Co., Ltd.	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.